



## **PRIVACY POLICY**

### **YOUR PRIVACY IS OUR PRIORITY**

ODL Securities, Inc. is committed to safeguarding the personal and financial data you provide. We recognize that client information is private and we hold ourselves to a high standard in its safekeeping and use.

We do not disclose any non-public information about our customers to anyone except as permitted by law. We do not sell or lease the information that clients or prospective clients provide. We primarily use this information to compliantly conduct business with you and make you aware of the products and services that we offer.

### **IMPORTANT NEW ACCOUNT OPENING PROCEDURES**

In accordance with the United States Patriot Act and to help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

### **PERSONAL INFORMATION WE COLLECT**

When you apply for or maintain an account with ODL Securities Inc., we also collect personal information about you for business purposes, such as evaluating your financial needs, processing your requests and transactions, informing you about products and services that may be of interest to you, and providing customer service. The information we collect includes your name, address, date of birth, social security number, drivers license number, income, net worth, education, financial activity, as well as accounts held at other financial institutions.

We may also use third-party services to further identify you or to prevent potential violations of regulations. Additionally, we may also ask to see documentation such as your driver's license, utility bills, bank statements, social security card or other identifying documents.

### **CONFIDENTIALITY AND SECURITY**

We limit access to your personal information to those employees who need to know in order to provide products or services to you. Any information that is maintained in hardcopy is safeguarded in locked storage. Information stored electronically is also restricted and secured via passwords protection. These procedural safeguards are designed to protect your non-public information.

The secured portion of our website uses some of the most secure forms of online communication available, including Secured Sockets Layers (SSL) protocol and user names and password protection. The firm's Privacy Policy is inclusive of the information that is gathered via the firm's website.

#### **DISCLOSURE TO AFFILIATED AND NON-AFFILIATED THIRD PARTIES**

In order to support the financial products and services we provide you, we may share the personal information described above with third-party service providers and joint marketers that are affiliated or non-affiliated. These third-parties include companies under contract to perform services for us on our behalf, such as vendors that provide data processing, computer software maintenance and development, transaction processing and marketing services. These companies acting on our behalf are required to keep your personal information confidential.

We may also disclose information to regulatory authorities as permitted or required by applicable law. For example, we may disclose personal information to cooperate with regulatory authorities and law enforcement agencies to comply with subpoenas or other official requests, and as necessary to protect our rights or property.

#### **WHAT YOU CAN DO TO SAFEGUARD YOU INFORMATION**

For your protection, we strongly encourage you to maintain the confidentiality of your account, including your user name, password, account number, as well as the activity within your account. We also encourage you to diligently review your account statements and confirmations for any suspicious activity. If you ever feel the confidentiality of your account has been compromised, it is your responsibility to contact ODL Securities, Inc. immediately.

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